

**Part 2B of Form ADV: *Brochure Supplement***

Jack Elmer Simmons

CRD # 2216735

15770 Dallas Parkway, Suite 150  
Dallas, TX 75248  
972-404-1040

J.E Simmons & Co., P.C.

Dallas, TX 75248

03/31/2021

This brochure supplement provides information about Jack Elmer Simmons that supplements the J.E Simmons & Co., P.C. brochure. You should have received a copy of that brochure. Please contact Kyle Bubeck at 913-239-0100 or by email at [krbubeck@beaconcompliance.com](mailto:krbubeck@beaconcompliance.com) if you did not receive the firm's brochure or if you have any questions about the contents of this supplement.

Additional information about Jack Elmer Simmons is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

## **Item 2 Educational, Background and Business Experience**

### **Full Legal Name**

Jack Elmer Simmons

### **Date of Birth**

1956

### **Education**

Baylor University; B.B.A., Accounting; 1978

### **Business Experience**

- J.E. Simmons & Co., P.C.; President; from 1995 to Present

## **Item 3 Disciplinary Information**

Jack Elmer Simmons has no reportable disciplinary history.

## **Item 4 Other Business Activities**

### **Investment-Related Activities**

- Jack Elmer Simmons is not engaged in any other investment-related activities.
- Jack Elmer Simmons does not receive commissions, bonuses or other compensation on the sale of securities or other investment products.

### **Non Investment-Related Activities**

- Jack Elmer Simmons is not engaged in any other business or occupation that provides substantial compensation or involves a substantial amount of his or her time.

## **Item 5 Additional Compensation**

- Jack Elmer Simmons does not receive any economic benefit from a non-advisory client for the provision of advisory services.

## **Item 6 Supervision**

Jack Simmons is the President of the firm. Therefore, if you have any questions or complaints regarding the handling of your account, you may contact the firm's Chief Compliance Officer. The contact information for our Chief Compliance Officer is:

**Name:** Kyle Bubeck

**Title:** Chief Compliance Officer

**Phone Number:** 913-239-0100

**Email Address:** [krbubeck@beaconcompliance.com](mailto:krbubeck@beaconcompliance.com)

### **Supervisory Procedures**

Jack E. Simmons, the President of the firm, has primary oversight responsibility for the firm's investment decisions. In addition, Mr. Simmons is responsible for the oversight of the operations of the advisor. Mr. Simmons may delegate responsibility to other employees or to third party service providers for specific tasks but is still responsible for continued oversight of the activities of those employees or third-party service providers.

The Chief Compliance Officer of the firm has the responsibility of developing the compliance program of the firm and for its implementation and on-going oversight.

**Part 2B of Form ADV: *Brochure Supplement***

Kyle E. Simmons, CFP®

CRD # 7007367

15770 Dallas Parkway, Suite 150  
Dallas, TX 75248  
972-404-1040

J.E. Simmons & Co., P.C.

Dallas, TX 75248

3/10/2021

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## **Item 2 Educational, Background and Business Experience**

### **Full Legal Name**

Kyle Elmer Simmons, CFP®

### **Date of Birth**

1983

### **Education**

- Texas A&M University; Dallas, TX; B.S. of Industrial Engineering; 2007
- United States Military Academy; Engineering, 2002-2003

### **Business Experience**

- J.E. Simmons & Co., P.C.; Consultant, 2018 to Present
- Kirby Corporation; General Manager; 2011 to 2018
- Booz and Company; Consultant; 2007 to 2010

### **Professional Designations**

- **Series 65**
- **Certified Financial Planner**

The CERTIFIED FINANCIAL PLANNER™, CFP® and federally registered CFP (with flame design) marks (collectively, the “CFP® marks”) are professional certification marks granted in the United States by Certified Financial Planner Board of Standards, Inc. (“CFP Board”).

The CFP® certification is a voluntary certification; no federal or state law or regulation requires financial planners to hold CFP® certification. It is recognized in the United States and a number of other countries for its (1) high standard of professional education; (2) stringent code of conduct and standards of practice; and (3) ethical requirements that govern professional engagements with clients. Currently, more than 71,000 individuals have obtained CFP® certification in the United States.

To attain the right to use the CFP® marks, an individual must satisfactorily fulfill the following requirements:

- **Education** – Complete an advanced college-level course of study addressing the financial planning subject areas that CFP Board’s studies have determined as necessary for the competent and professional delivery of financial planning services, and attain a Bachelor’s Degree from a regionally accredited United States college or university (or its equivalent from a foreign university). CFP Board’s financial planning subject areas include insurance planning and risk management, employee benefits planning, investment planning, income tax planning, retirement planning, and estate planning;

- Examination – Pass the comprehensive CFP® Certification Examination. The examination includes case studies and client scenarios designed to test one's ability to correctly diagnose financial planning issues and apply one's knowledge of financial planning to real world circumstances;
- Experience – Complete at least three years of full-time financial planning-related experience (or the equivalent, measured as 2,000 hours per year); and
- Ethics – Agree to be bound by CFP Board's Standards of Professional Conduct, a set of documents outlining the ethical and practice standards for CFP® professionals.

Individuals who become certified must complete the following ongoing education and ethics requirements in order to maintain the right to continue to use the CFP® marks:

- Continuing Education – Complete 30 hours of continuing education hours every two years, including two hours on the Code of Ethics and other parts of the Standards of Professional Conduct, to maintain competence and keep up with developments in the financial planning field; and
- Ethics – Renew an agreement to be bound by the Standards of Professional Conduct. The Standards prominently require that CFP® professionals provide financial planning services at a fiduciary standard of care. This means CFP® professionals must provide financial planning services in the best interests of their clients.

CFP® professionals who fail to comply with the above standards and requirements may be subject to CFP Board's enforcement process, which could result in suspension or permanent revocation of their CFP® certification.

### **Item 3      Disciplinary Information**

Kyle Elmer Simmons has no reportable disciplinary history.

### **Item 4      Other Business Activities**

#### **Investment-Related Activities**

- Kyle Elmer Simmons is not engaged in any other investment-related activities.
- Kyle Elmer Simmons does not receive commissions, bonuses, or other compensation on the sale of securities or other investment products.

#### **Non Investment-Related Activities**

- Kyle Elmer Simmons serves as a consultant to Ridgetop Research on an ongoing basis where he provides his expert opinion on a former industry which he worked in.
- Kyle Elmer Simmons performed consulting services, as a one-time activity, for Mosaic Research Management, based on his prior experience in a former industry which he worked in. This occurred in December 2020.

## **Item 5      Additional Compensation**

- Kyle Elmer Simmons does not receive any economic benefit from a non-advisory client for the provision of advisory services.

## **Item 6      Supervision**

**Jack Simmons** is the President of the firm and Kyle Bubeck is the Chief Compliance Officer of the firm. Therefore, if you have any questions or complaints regarding the handling of your account, you may contact Kyle Bubeck at the following telephone number and email address:

**Name:** Kyle Bubeck

**Title:** Chief Compliance Officer

**Phone Number:** 913-239-0100

**Email Address:** [krbubeck@beaconcompliance.com](mailto:krbubeck@beaconcompliance.com)

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